Application form to transfer a Stocks & Shares ISA to a Cash ISA



Only complete this form if you are transferring an existing Stocks and Shares ISA from another bank or building society

your identity and address. It will therefore be necessary for us to undertake electronic searches prior to opening an account.	account number here
Account Details	Failure to complete the following may result in your application being returned
I wish to transfer f or full balance	Are you a U.S.A citizen?
Minimum: £5,000	If yes, please provide your Tax Payer Identification
into a year Fixed Rate Cash ISA* *Please enter the fixed rate term in years as shown on the interest rate sheet. Annual interest Monthly interest	Number (TIN) I confirm that I have received the FSCS exclusions and information on eligible deposits
Applicant Name (in full)	For security purposes, please provide the following information:
Title Mr Mrs Miss Miss Other	Your mother's maiden name
Full name	A memorable word (8-12 letters)
Full Permanent Residential Address	
	ISA Transfer Details Please complete the details of your current ISA account and sign
Postcode: How long have you lived here? years	the overleaf Transfer Authority to enable your ISA transfer to be completed. Please note to retain tax free status ISA accounts should be transferred by bank transfer and should not be closed and re-opened by savers. Please ensure you enclose your existing ISA book or certificate if held.
Date of Birth D D M M Y Y Y Y (minimum age restriction 18 years)	Bank Details Bank Name & Address
National Insurance No. I do not have a National Insurance Number	
Mailing Address (if different)	Postcode:
	Account No Sort Code
Postcode:	I enclose the letter of authority giving Hodge Bank authority to submit my transfer request to my existing ISA provider. I am aware that my existing ISA provider may charge for transferring my account and accept that, if applicable, this charge will be deducted from my ISA account prior to transfer to Hodge Bank.
Contact Details	account prior to transfer to flouge bank.
Telephone No.	
Fax/Mobile No.	Payment Details
Email	I require the interest to be paid externally to my bank account; full details of which I have completed below
Previous Address	Bank Details
If you have changed your address in the last 12 months please state your previous address below:	Bank Name & Address
	Postcode:

Account No

Sort Code

Postcode:

Declaration

I understand, confirm and agree the following:

Use of your Personal Data

The personal details you give on this form will be subject to the provisions of the General Data Protection Regulations 2018.

The information will be retained only for as long as necessary in accordance with our Retention Policy by Julian Hodge Bank Limited who is the data controller, and may be stored on paper or an electronic format.

The information held about you may be used for the following purposes:

- Administering your application;
- Verifying your identity and anti-money laundering checks:
- Assist in fraud prevention;
- Reporting to regulators and authorities;
- Market and product analysis.

The information held about you may be shared with the following parties:

- Hodge Bank approved service providers in relation to this application;
- Other members of the Julian Hodge Bank Limited Group, its subsidiaries and associated companies;
- Regulators or authorities where required or permitted by law

You have the right to request access to your personal information held by Julian Hodge Bank Limited; to do so, this request must be made in writing using our Subject Access Request Process. Further information regarding this can be found on our website.

- Telephone calls may be monitored and/or recorded in the interest of security and to help improve our service.
- Hodge Bank may also use your information to contact you about its products and services that it believes may be of interest to you.

Occasionally, we may have products and services that could be suitable for you. We would like your permission to contact you by phone, post, SMS or email.

Please confirm if you would like to hear from us about these services:

By Phone	
By Mail	
By Email	
By SMS	

We will always treat your personal details with the upmost care and will never pass or sell your information to other companies for marketing purposes.

Your rights as a data subject

At any point while we are in possession of or processing your personal data, you have the following rights:

- Right of access you have the right to request a copy of the information that we hold about you as mentioned above.
- Right of rectification you have a right to correct data that we hold about you that is inaccurate or incomplete.
- Right to be forgotten in certain circumstances you can ask for the data we hold about you to be erased from our records
- Right to restriction of processing where certain conditions apply you have a right to restrict the processing.
- Right of portability in certain circumstances, you have the right to have the data we hold about you transferred to another organisation.
- Right to object you have the right to object to certain types of processing such as direct marketing.
- Right to object to automated processing, including profiling – protection against targeted marketing and decision making
- Right to judicial review in the event that we refuse your request under rights of access, we will provide you with a reason as to why. You have the right to complain as per the subject access request process.
- All of the above requests will be forwarded on should there be a third party involved in the processing of your personal data, i.e. if a data subject was to raise a Subject Access Request.

Please turn over to sign the declaration >

Hodge Bank

One Central Square, Cardiff, CF10 1FS

(029) 2022 0800 | deposits@hodgebank.co.uk | hodgebank.co.uk

Hodge Bank's Privacy Notice confirms how we manage and process your personal data. If you require more detail on how we handle your information please go to https://www.hodgebank.co.uk/privacy-policy/ or call 0800 028 3746.





Declaration

I declare that:

- All subscriptions made, and to be made, belong to me;
- I am 16 years of age or over;
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA, stocks and shares ISA and an innovative finance ISA in the same tax year:
- I have not subscribed and will not subscribe more than the cash ISA subscription limit to a cash ISA;
- I have not subscribed and will not subscribe to another cash ISA in the same tax year that I subscribe to this cash ISA.

and;

I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Hodge Bank if I cease to be so resident and ordinarily resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.

I authorise Hodge Bank:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of these investments and any other cash;
- To make on my behalf any claims to relief from tax in respect of ISA investments

I agree to the Terms and Conditions of the account. I have read and understood the Personal Data statement and consent to the use of my information for the purposes stated. I declare that the information that I have provided is correct to the best of my knowledge and belief.



Stocks and Shares to Cash ISA Transfer Authority

Applicant Name (in full) Title Mr Mrs Miss Ms Other Full name Date of Birth D M M Y Y Y Y National Insurance No. Ido not have a National Insurance Number Full Permanent Residential Address	Q3 If you answered 'yes' to question 2, do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)? Yes No Please note: that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part. Q4 Tick here if you only want to transfer your subscriptions from the current tax year Tick here if you only want to transfer all your subscriptions from previous tax years Tick here if you only want to transfer some of your subscriptions from previous tax years (as well as investments relating to current year subscriptions if you answered 'yes' to question 3. Please provide sale instructions by answering Q5
Postcode:	Q5 Stocks, Shares and/or Funds that you wish to sell
Contact No. (including area code)	Please check your selection is permitted by your existing stocks and shares ISA provider
Information about the Stock and Shares ISA you want to transfer	prior to submitting the form. Please note that you may be contacted by your existing ISA provider to agree what to sell. Please use the box below to give instructions to your current stocks and shares ISA provider with regard to what specific value or Tax Year(s) should be transferred and/or what investments should be realised to meet the transfer.
Name of existing ISA provider	
Address of existing ISA provider	
Postcode:	If needed, please list all investments that you hold with the above ISA provider that you wish to sell and transfer the proceeds to your new Cash ISA with Hodge Bank. Alternatively, you may provide this information by supplying a separate list, e.g. by annotating a valuation statement from your provider.
ISA Account number / reference	Description of Investment indicator Number of Value of investment e.g. ISIN, Sedol, Cuip etc. Stocks, Shares, Units
DA Account number / reference	(if known) or specify "All" to sell the entire building
Please note: as well as being subject to the terms and conditions of the Cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your stocks and shares ISA. For example: • Some stocks and shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, e.g. when deciding which investments are sold to facilitate the transfer. • Your existing ISA provider may need you to give them specific information before the transfer can go ahead. • There may be outstanding fees to pay before the transfer goes ahead. If you are not sure what conditions apply please check with your existing stocks and shares ISA provider before completing the form.	
Q1 Do you want to transfer all or part of your ISA?	
All Part	
If you answered 'All' to question 1 this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to your new provider in its entirety.	
Q2 Have you subscribed to this stocks and shares ISA in the current tax year?	
Yes No	Q5b Univested Cash to be Transferred
If you answered 'All' to question 1, please go directly to the Transfer Authority section. If you answered 'Part' to question 1, please answer questions 3-5 below	Please say how much of your univested cash balance in your stocks and shares ISA you want to transfer.

Transfer Authority

I authorise my existing ISA provider as specified above to sell investments and transfer the ISA in cash (account number above) to Hodge Bank.

I authorise my existing ISA provider to provide Hodge Bank with any information, written or nonwritten, concerning the stocks and shares ISA and to accept any instruction from them relating to the stocks and shares ISA being transferred.

Where I must give notice to close or transfer part of the existing stocks and shares ISA, or the existing stocks and shares ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing ISA provider to either (please tick the appropriate box);

wait for the full notice period to end, or wait until redemption (whichever	íis
relevant) before going ahead with this transfer;	

depending on the terms and conditions, carry out the transfer as soon as possible - I accept any consequential loss of income, capital or charges that may be applied.

Date:











Transfer Acceptance (your new ISA provider fills this part in)

We, Hodge Bank, are willing to accept this ISA transfer in line with the customer's instructions, as long as the following conditions are met.

- The transfer proceeds are made up of cash deposits only
- We must recieve all the transfer proceeds within 30 days of the date of the transfer request from Hodge Bank

Where the customer has shown above that they want to transfer subscriptions from the current tax year, these must not be more than:

f

Residual Income

We must receive all residual income payments no later than one month from the date that the original transfer proceeds are received. Any residual income payments after this date must be paid away to the customer. For the purposes of the transfer of the ISA wrapper under the ISA regulations, the date shown below will be the transfer date.













Name of new ISA provider: Julian Hodge Bank Limited

Hodge Bank

One Central Square, Cardiff, CF10 1FS

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